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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/796,718	03/08/2004	Patrice M. Fabre	NETL-004	1798
37717	7590	10/03/2007	EXAMINER	
BRIAN J. DAIUTO 886 ILIMA COURT PALO ALTO, CA 94306			RECEK, JASON D	
		ART UNIT	PAPER NUMBER	
		2109		
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	10/796,718	FABRE ET AL.	
	Examiner	Art Unit	
	Jason Recek	2109	

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) Responsive to communication(s) filed on 08 March 2004.

2a) This action is FINAL.                    2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) Claim(s) 1-26 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 1-26 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on 08 March 2004 is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) All    b) Some \* c) None of:  
1. Certified copies of the priority documents have been received.  
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	
3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>10 June 2005</u>	5) <input type="checkbox"/> Notice of Informal Patent Application 6) <input type="checkbox"/> Other: _____

## **DETAILED ACTION**

This is in response to application 10/796718 filed on March 8<sup>th</sup> 2004 in which claims 1-26 are presented for examination.

### ***Status of Claims***

Claims 1-26 are pending, or which claims 1, 15 and 20 are in independent form.

Claim 24 is currently rejected under 35 U.S.C. 112 paragraphs 1 and 2.

Claims 1-26 are currently rejected under 35 U.S.C. 103(a).

### ***Specification***

1. The disclosure is objected to because it contains an embedded hyperlink (pg. 1) and/or other form of browser-executable code. Applicant is required to delete the embedded hyperlink and/or other form of browser-executable code. See MPEP § 608.01.

### ***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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3. Claim 24 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant

regards as the invention. Claim 24 recites the limitation "said REPLY-TO fields" in line

2. There is insufficient antecedent basis for this limitation in the claim.

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. Claim 24 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

The claimed subject matter that is not enabled is "said first, second, and third thread-recurrent fields are the same as said REPLY-TO fields". The specification does not teach one of ordinary skill in the art how to make and use this feature without undue experimentation. In fact the specification recites that thread-recurrent fields are not substantially changed when an email program's reply function is used (paragraph 77) however the REPLY-TO field is substantially changed when a reply email is sent, the new reply-to field will be the address of the person replying, before the REPLY-TO field was the address of the person who sent the email. Thus it is not clear how a thread-recurrent field can be the REPLY-TO field. Furthermore claim 24 depends from claim

20 which has a "reply-designating header field" and "a first thread-recurrent field" if the thread recurrent field was in fact the REPLY-TO field as claim 24 claims, then the email would have two reply fields, something the specification does not teach.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

7. Claims 1-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Knox et al. US2007/0005762 A1 in view of Weber et al. U.S. Pat. 5,878,230.

Regarding claim 1, Knox discloses "sending an initial e-mail message from said internal e-mail facility to the external contact" as sending an email message (paragraph 15), "REPLY-TO field [...] corresponds to a domain of said internal e-mail facility" this is inherent, the reply-to field necessarily matches the address (which includes a domain) from where the message was sent, "e-mail message comprises a tracking string encoding tracking information associated with said end user" as adding a tracking code to the email (paragraph 17). Knox does not disclose "receiving a first reply e-mail message from said external contact [...] reply e-mail message having a TO field including said tracking string" however this is taught by Weber as replying to an email

where the reply is automatically filled in with the address of the originator (col. 1 ln. 25-27) since the tracking string was in the REPLY-TO field, it will also be in the TO field of the reply. Weber also discloses "sending a modified version of said first reply e-mail message to a native e-mail address of the end user" as sending the reply email to a different address from which the email was originally sent (col. 3 ln. 20-30), and "a REPLY-TO field of said modified version of said first reply e-mail message corresponds to said domain of said internal e-mail facility" this is inherent because this message came from the internal e-mail system, thus the reply-to field would necessarily include the address (domain) of the internal email system.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Knox with the reply features taught by Weber for the purpose of tracking email because replying to email and reply to addresses are well known in the art and yield predictable results.

Neither Knox nor Weber disclose that the "tracking string encoding tracking information" or "a first modified version of said tracking string" is "a local part of said REPLY-TO field" but this would have been obvious to one of ordinary skill in the art at the time of the invention. Knox teaches inserting the tracking string into a hyperlink (paragraph 18), clicking on a "reply to" button is similar to clicking on a hyperlink the only difference being instead of a webpage opening an email opens. Thus placing the tracking string in the reply-to field is similar to placing it in a hyperlink which is well

known and yields predictable results, therefore it would have been obvious to one of ordinary skill in the art at the time of the invention.

Regarding claim 2, Knox discloses "generating a first metadata object based on said tracking string" as generating a cookie based on tracking information (paragraph 20), and "metadata object associates [...] said end user, said external contact, and at least one business functionality" as associating who sent the email, the recipient and the behavior (business information) of the recipient (paragraph 26), and "archiving" as storing email messages in a database (paragraph 28, Fig. 1).

Regarding claim 3, Knox discloses "generating a viewable communication log screen including information derived from said first metadata object" as displaying information from the metadata object to a user (paragraphs 40-41).

Regarding claim 4, Knox does not disclose "second reply e-mail message having a TO field including said first modified version of said tracking string" however this is taught by Weber as automatically filling in email fields with the address of the originator (col. 1 ln. 25-27) since the REPLY-TO field had the tracking string the TO field of the reply would also have the tracking string. Weber also discloses "sending a modified version of said second reply e-mail message to the external contact" as forwarding an email (col. 5 ln. 30-42), "a domain of a REPLY-TO field of said modified version of said second reply e-mail message corresponds to said domain of said internal e-mail facility"

since the message is sent from the internal facility the reply-to field would necessarily contain the domain of the internal e-mail facility (col. 1 ln. 25-27).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Knox with the reply features taught by Weber for the purpose of tracking email because replying to email and reply to addresses are well known in the art and yield predictable results.

Knox and Weber do not specifically disclose "receiving a second reply e-mail message from said end user" however replying to email is well known in the art and yields predictable results, thus it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Knox and Weber by having a user send a reply email. Also Know and Weber do not specifically disclose "a local part of said REPLY-TO field comprises a second modified version of said tracking string" however Knox discloses inserting tracking string into email (paragraph 25) and it would have been obvious to one of ordinary skill in the art at the time of the invention to put the tracking string in the reply-to field for the reasons given in the rejection of claim 1.

Regarding claim 5, Knox discloses "generating a second metadata object based on said second modified version of said tracking string" as generating a secondary cookie (paragraph 32) which performs the same functions as the first such as: "associates said second reply e-mail message with said end user, said external contact,

and said at least one business functionality" (paragraph 26), and "archiving said second reply e-mail message" as storing the email message in a database (paragraph 28, Fig. 1).

Regarding claim 6, Knox discloses "generating a viewable communication log screen including information derived from said first metadata object and said second metadata object" as displaying information from the metadata objects to a user (paragraphs 40-41, 43).

Regarding claim 7, Knox discloses "native e-mail system of said end user is a web-hosted e-mail service" as a web-based email (paragraph 15).

Regarding claim 8, Knox discloses "native e-mail system of said end user is a dedicated desktop e-mail application" as a application email program (paragraph 15).

Regarding claim 9, Knox discloses "internal e-mail facility is operated out of a same Internet domain as said web-based business information system" as a user sending email from his business association which is associated with a single domain (paragraph 15).

Regarding claim 10, Knox discloses "initial e-mail message comprises a message body manually composed by the end user" as a user composing an email (paragraph 15).

Regarding claim 11, Knox discloses "initial e-mail message is automatically sent on behalf of the end user by said web-based business information system" as an email client sending email (paragraph 16).

Regarding claim 12, Knox discloses "REPLY-TO field of said initial e-mail message further comprises a display name for said end user that is substantially identical to a display name associated with said end user in said native e-mail system" as an email address that consists of the user's initials (Fig. 2).

Regarding claim 13, Knox discloses "web-based business information system is provided by an application service provider and subscribed to by a business enterprise" as a business user whose email is provided by a service provider such as Yahoo (paragraph 15).

Regarding claim 14, Knox discloses "web-based business information system is self-hosted by a business enterprise" as a business which owns the domain and thus the email system is 'self-hosted' (paragraph 15).

Regarding claim 15, it is identical to claim 1 with the exception of "reply-to" replaced with "reply-designating header" in light of Applicant's specification (paragraph 76), Applicant's claim 18 and Applicant Admitted Prior Art RFC 2822 pg. 21 the term "reply-designating header" is broader than the term "reply-to" and thus claim 15 is rejected for the same reasons as claim 1.

Regarding claims 16-17, they are substantially similar to claims 2 and 4 respectively and therefore rejected for the same reasons.

Regarding claim 18, the limitation "reply designating header fields [...] are each REPLY-TO fields" makes claim 18 identical in scope to claim 4, and is therefore rejected for the same reasons.

Regarding claim 19, neither Knox nor Weber specifically disclose "reply designating header fields [...] are each FROM fields" however it would have been obvious to one of ordinary skill in the art at the time to the invention to substitute reply-to fields with from fields. From fields are well known in the art as evidenced by Applicant Admitted Prior Art RFC 2822 pg. 21 and yield predictable results.

Regarding claim 20, it is substantially similar to claims 1 and 15 and those similar parts are rejected for the same reasons given in the rejection of claims 1 and 15. Claim 20 adds the limitations "first thread-recurrent field of said initial e-mail message

comprises a tracking string" and "a second thread-recurrent field" that comprises a "first modified version of said tracking string". Thread recurrent fields are disclosed by Knox as subject fields of an email (Fig. 2). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Knox and Weber by adding a tracking string to a subject field of an email. That technique is well known in the art and yields predictable results.

Regarding claim 21, it is identical to claim 2 and is therefore rejected for the same reasons.

Regarding claim 22, it is substantially similar to claim 4 and the similar parts are thus rejected for the same reasons. Claim 22 adds the limitation "thread-recurrent field" as discussed in the rejection of claim 20 Knox discloses thread recurrent fields (Fig. 2).

Regarding claim 23, it is identical to claim 18 and is therefore rejected for the same reasons.

Regarding claim 24, it is rejected for the same reasons as claim 1. That is, the rejection of claim 1 explains how Knox and Weber disclose REPLY-TO fields that contain tracking strings which is what claim 24 seems to be claiming.

Regarding claim 25, Knox discloses "said first, second, and third thread-recurrent fields are each SUBJECT fields" as subject fields in an email (Fig. 2). As discussed in claim 20, it is well known to place tracking information in a subject field of an email.

8. Claim 26 is rejected under 35 U.S.C. 103(a) as being unpatentable over Knox and Weber in view of Bargagli Damm et al. US 2004/0006598 A1.

Regarding claim 26, Knox and Weber do not disclose "said first, second and third thread-recurrent fields are encrypted into body fields of said initial e-mail message" however encryption of email objects is taught by Bargagli Damm (paragraph 98) including encryption of an email header (paragraph 105).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Knox and Weber by adding encryption taught by Bargagli Damm for the purpose of security. Encryption is well known in the art and provides predictable results.

***Conclusion***

9. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Kikinis U.S. Pat. 6,785,710 B2 discloses automatically generating reply-to address in an email system.

Oliver et al. US 2007/0043817 A1 discloses an email tracking system.

Kirsch et al. US 2005/0091320 A1 discloses storing email information in a database.

Johnson et al. US 2004/0049571 A1 tracking a document's history.

Ouchi U.S. Pat. 6,170,002 B1 discloses a workflow system for tracking emails.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jason Recek whose telephone number is (571) 270-1975. The examiner can normally be reached on Mon - Thurs 7:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frantz Coby can be reached on (571) 272-4017. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



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09/28/07

  

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